

Applicant or Patentee: Dr. Rudolf EHWALDAttorney's Docket No.: EWLD 16.570

Serial or Patent No.: _____

Filed or Issued: _____

For: PROCESS FOR AFFINITY VISCOSIMETRY AND VISCOIMETRIC AFFINITY SENSOR**VERIFIED STATEMENT [DECLARATION] CLAIMING SMALL ENTITY STATUS**
(37 CFR §1.9(f) and §1.27(b) - INDEPENDENT INVENTOR)

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR §1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled PROCESS FOR AFFINITY VISCOSIMETRY AND VISCOSIMETRIC AFFINITY SENSOR described in:

☒ the specification filed herewith☐ application serial no. _____ filed _____☐ patent no. _____ issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR §1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

☒ no such person, concern or organization☐ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27).

FULL NAME Karl-Ernst EHWALDADDRESS Pflaumengasse 17, 15234 Frankfurt, Germany☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Karl-Ernst EHWALD

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature of Inventor

Signature of Inventor

Signature of Inventor

Date

Date

Date

10.10.99

Applicant or Patentee: Dr. Rudolf EHWALD Attorneys Docket No.: EWLD 16.570
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FULL NAME Dr. Andreas Thomas
ADDRESS Karl-Liebknecht-Str. 1
D-01796 Pina
☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
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Dr. Rudolf EHWALD Dr. Andreas Thomas
NAME OF INVENTOR NAME OF INVENTOR
[Signature] [Signature]
Signature of Inventor Signature of Inventor
02.10.99
Date Date

Applicant or Patentee: Dr. Rudolf EHWARD Attorneys Docket No.: EWLD 16.570
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For: PROCESS FOR AFFINITY VISCOSIMETRY AND VISCOSIMETRIC AFFINITY SENSOR

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Dr. Rudolf EHWARD
NAME OF INVENTOR

Dr. Uwe Beyer
NAME OF INVENTOR

NAME OF INVENTOR

Signature of Inventor

Signature of Inventor

Signature of Inventor

Date

22.9.1999

Date

22.9.1999

Date

UNITED STATES

DECLARATION FOR PATENT APPLICATION

Docket No. EWLD 16.570

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PROCESS FOR AFFINITY VISCOSIMETRY AND VISCOSIMETRIC AFFINITY SENSOR.

the specification of which

(check one) ☒ is attached hereto☐ was filed on _____ as

Application Serial No. _____

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by an amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

(Number)	(Country)	(Day/Month/Year Filed)
_____	_____	_____
_____	_____	_____
_____	_____	_____

Priority Claimed

Yes No

Yes No

Yes No

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)
_____	_____	_____

(Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)
_____	_____	_____

I hereby appoint as my attorney and agent Aaron B. Karas, Reg. No. 18,923, Samson Helfgott, Reg. No. 23,072, Leonard Cooper, Reg. No. 27,625, Linda S. Chan, Reg. No. 42,400 and Jacqueline M. Steady, Reg. No. 44,354 to prosecute this application and to transmit all business in the Patent and Trademark Office connected therewith.

Address all correspondence to:

HELFGOTT & KARAS, P.C.
 Empire State Building, 60th Floor
 New York, New York 10118-0110
 Telephone No.: (212) 643-5000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Rudolf EHWARDInventor's Signature R. E. HwaldDate 10.10.99Residence Strelitzer Strabe 56, 10115 Berlin, GermanyCitizenship GermanyPost Office Address Strelitzer Strabe 56, 10115 Berlin, GermanyFull name of second joint inventor, if any Karl-Ernst EHWARDSecond Inventor's Signature K. E. EhwaldDate 10.10.99Residence Pflaumenallee 17, 15234 Frankfurt, GermanyCitizenship GermanyPost Office Address Pflaumenallee 17, 15234 Frankfurt, Germany

Docket No. EWLD 16,170

Full name of third joint inventor, if any Andreas THOMAS
Third inventor's Signature [Signature] Date 10.10.99
Residence Karl-Liebknecht-Str. 1, 01796 Pirmas, Germany Citizenship Germany
Post Office Address Karl-Liebknecht-Str. 1, 01796 Pirmas, Germany

Full name of fourth joint inventor, if any Uwe REYER
Fourth inventor's Signature [Signature] Date 09.10.1999
Residence Friedrich-Engels-Str. 101, 09337 Hohenschön-Emsthal, Germany Citizenship Germany
Post Office Address Friedrich-Engels-Str. 101, 09337 Hohenschön-Emsthal, Germany

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